

COPY OF PAPERS
ORIGINALLY FILED

#12
8.13.02
275
265

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Pelrine, et al.

Attorney Docket No.: SRI1P022/US-4076-2

Application No.: 09/619,848

Examiner: To be assigned

Filed: July 20, 2000

Group: 2753

RECEIVED

JUL 31 2002

Title: ELECTROACTIVE POLYMER
GENERATORS

Technology Center 2600

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on July 18, 2002.

Signed: Natalie Morgan
Natalie Morgan

INFORMATION DISCLOSURE STATEMENT
BEFORE FINAL ACTION OR NOTICE OF ALLOWANCE
(37 CFR §§ 1.56 AND 1.97(c))

Commissioner for Patents
Washington, DC 20231

RECEIVED
AUG - 7 2002
TO 2800 MAIL ROOM

Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. Applicants submit this reference in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this reference indeed constitutes prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this application, whichever event occurred last, but it is believed before the mailing date of either: (i) a final action under §1.113 or (ii) a notice of allowance under §1.311, whichever occurs first.

07/26/2002 AOSMAN1 00000010 09619848

01 FC:126

180.00 OP

Accompanying this Information Disclosure Statement is

- ☐ a statement as specified in 37 CFR 1.97(e); or
☒ the fee set forth in 37 CFR 1.17(p).

[37 CFR § 1.97(e) Statement, if relied upon]

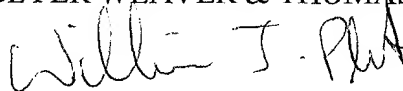
The undersigned hereby states:

- ☐ that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement; or
☒ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

If fees are due, enclosed is our Check No. 5972 for \$180.00 in payment of the Information Disclosure Statement Fee. If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SRI1P022).

Respectfully submitted,

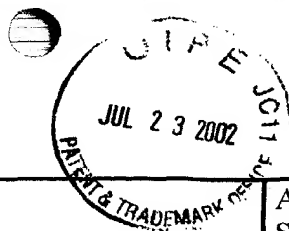
BEYER WEAVER & THOMAS, LLP



William J. Plut

Limited Recognition Under 37 C.F.R. §10.9(b)

P.O. Box 778
Berkeley, CA 94704-0778
(510) 843-6200



COPY OF PAPERS
ORIGINALLY FILED

| | | |
|--|-----------------------|------------------|
| Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary) | Atty Docket No. | Application No.: |
| | SRIIP022/US-4076-2 | 09/619,848 |
| | Applicant: | |
| | Pelrine <i>et al.</i> | |
| Filing Date | Group | |
| July 20, 2000 | 2743 | |

U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
|------------------|-----|------------|------|----------|-------|-----------|-------------|
| | A | | | | | | |
| | B | | | | | | |
| | C | | | | | | |
| | D | | | | | | |
| | E | | | | | | |
| | F | | | | | | |
| | G | | | | | | |

RECEIVED

JUL 31 2002

Technology Center 2600

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation | |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
| | | | | | | | Yes | No |
| | J | | | | | | | |
| | K | | | | | | | |
| | L | | | | | | | |
| | M | | | | | | | |
| | N | | | | | | | |

Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|------------------|-----|--|
| | O | Roy D. Kornbluh, "Robotic Systems, Ocean Engineering and Marine Systems, 1999 Program, February 2000, Office of Naval Research Public Release, ONR 32100-2 |
| | P | Roy D. Kornbluh, "Robotic Systems, Ocean Engineering and Marine Systems, 1998 Program, February 1999 Office of Naval Research Public Release, ONR 32199-4 |
| | Q | Roy D. Kornbluh, Robotic Systems, Ocean Engineering and Marine Systems 1997 Program, December 1997, Office of Naval Research Public Release, ONR-32198-2 |
| Examiner | | Date Considered |

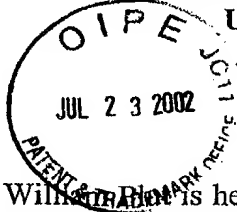
Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COPY OF PAPERS
ORIGINALLY FILED

BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUL 31 2002




LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Technology Center 26

William Plut is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Beyer Weaver & Thomas, LLP law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Beyer Weaver & Thomas, LLP law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Beyer Weaver & Thomas, LLP law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) William Plut ceases to lawfully reside in the United States, (ii) William Plut's employment with the Beyer Weaver & Thomas, LLP law firm ceases or is terminated, or (iii) William Plut ceases to remain or reside in the United States on a TN visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: May 12, 2003


Harry I. Moatz
Director of Enrollment and Discipline

10-2800 MAIL ROOM

AUG - 7 2002

RECEIVED